



Pra tition r's Dock t No. 7315

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application

of _____
Inventor(s)

for _____
Title of invention

the specification of which is being transmitted herewith

OR

In re application of: Patricia L. Redding

Application No.: 0 10 637,155 Group No.: 1714

Filed: 08/08/2003

Examiner:

For: AEROSOL PAINT COMPOSITION FOR ADHERENCE TO PLASTIC

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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(Information Disclosure Statement [6-1]—page 1 of 4)

NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant:

- (1) Within three months of the filing date of a national application;
 - (2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or
 - (3) Before the mailing date of a first Office action on the merits, whichever event occurs last."
- 37 C.F.R. § 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).

NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13 -25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement: discard unused sections
and number pages consecutively)

1. ☒ Preliminary Statements
2. ☒ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
 - 7A. ☐ EPO Search Report
 - 7B. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
10. ☐ Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections _____, respectively, have been continued on ADDED PAGE(S).

NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 10. Identification of Person(s) Making This Information Disclosure Statement

The person making this statement is

(check each applicable item)

- (a) ☐ the inventor(s) who signs below

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

- (b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c))

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

- (c) ☐ the practitioner who signs below on the basis of the information:

(check each applicable item)

- ☐ supplied by the inventor(s).
☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. § 1.56(c))
☐ in the practitioner's file.



SIGNATURE OF PRACTITIONER

Robert E. McDonald

(type or print name of practitioner)

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 7315	
In Re Application Of: Patricia L. Redding				
Serial No. 10/637,155	Filing Date 08/08/2003	Examiner	Group Art Unit 1714	
Title: <p style="text-align: center;">AEROSOL PAINT COMPOSITION FOR ADHERENCE TO PLASTIC</p>				
<p style="text-align: center;">Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <p style="text-align: center;">37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				

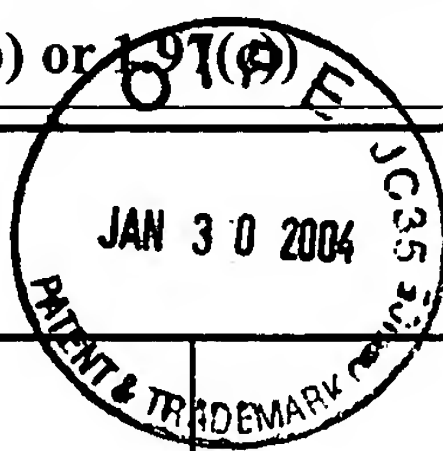
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.

7315

In Re Application: Patricia L. Redding



Serial No.

10/637,155

Filing Date

08/08/2003

Examiner

Group Art Unit

1714

AEROSOL PAINT COMPOSITION FOR ADHERENCE TO PLASTIC**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-2025 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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*This certificate may only be used if paying by deposit account.

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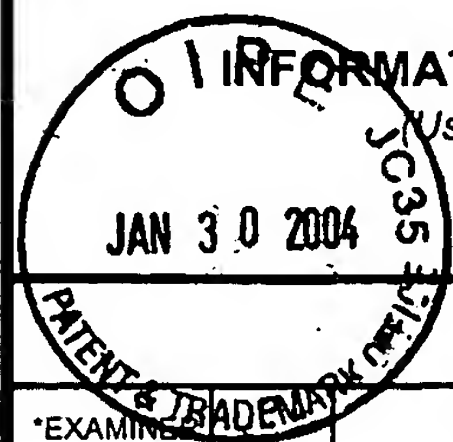
Dated: January 30, 2004

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**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY DOCKET NO.

7315

SERIAL NO.

10/637,155

APPLICANT(S)

Patricia L. Redding

FILING DATE

8/8/2003

GROUP

1714

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2,631,814	03/17/1953	Abplanalp	251	137	09/28/1949
	3,033,473	05/08/1962	Kitabayashi	239	579	10/18/1960
	3,061,203	10/30/1962	Kitabayashi	239	337	09/15/1960
	3,074,601	01/22/1963	Kuffer	222	394	09/17/1959
	3,209,960	10/05/1965	Green	222	394	12/26/1963
	4,010,126	03/01/1977	Kuzma	260	22 CB	04/11/1975
	4,362,838	12/07/1982	Leep et al.	524	444	06/11/1981
	4,365,028	12/21/1982	Leep et al.	523	402	06/11/1981
	4,572,406	02/25/1986	Pratt et al.	222	39	03/07/1983
	4,923,097	05/08/1990	Bartlett	222	394	08/11/1989
	4,983,716	01/08/1991	Rao et al.	528	272	01/16/1990

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			OPC Polymers, OPC Technical Service Bulletin - "Polychem 7060-V-60"
			Dock Resins Corporation, DORESCO(R) AC423-17, 1/9/02, 1 page

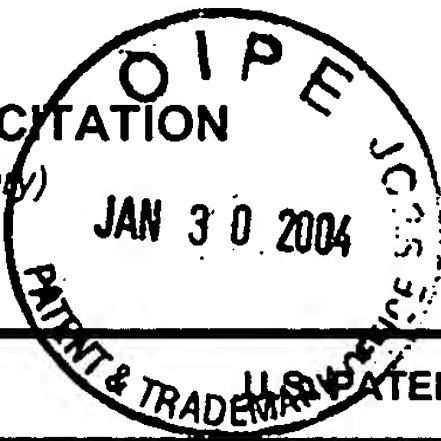
EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

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10/637,155

APPLICANT(S)

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FILING DATE

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GROUP

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,027,985	07/02/1991	Abplanalp	222	402.1	07/24/1989
	5,348,992	09/20/1994	Pearson et al.	523	523	01/29/1993
	5,536,532	07/16/1996	Oaks	427	307	12/15/1993
	5,603,939	02/18/1997	Ser	424	401	11/12/1993
	3,600,345	08/17/1971	Levine et al.	260	22 CB	09/16/1969
	4,112,013	09/05/1978	Briggs, Jr. et al.	260	878 R	02/18/1977
	4,133,786	01/09/1979	Harris et al.	260	22 CB	10/05/1977
	4,981,730	01/01/1991	Zaleski	427	393.5	05/19/1989
	5,130,373	07/14/1992	Ashihara et al.	525	193	05/13/1991
	5,397,602	03/14/1995	Martz et al.	427	343.5	03/15/1994
	5,811,489	09/22/1998	Shirai et al.	525	66	07/26/1995

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

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				APPLICANT(S) <div style="text-align: center;">Patricia L. Redding</div>			
				FILING DATE <div style="text-align: center;">8/8/2003</div>		GROUP <div style="text-align: center;">1714</div>	
<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;"> U.S. PATENT DOCUMENTS <small>PATENT & TRADEMARK OFFICE</small> <div style="font-size: 0.8em;">JAN 30 2004</div> </div>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,863,646	01/26/1999	Verardi et al.	428	323	03/25/1996
		6,203,913 B1	03/20/2001	Kondos et al.	428	423.1	12/16/1998
		6,207,224 B1	03/27/2001	O'Neil	427	140	10/06/1999
		6,225,402 B1	05/01/2001	O'Callaghan et al.	524	834	03/15/1999
		6,277,912 B1	08/21/2001	Ashihara et al.	525	65	04/22,1998
		6,297,312 B1	10/02/2001	Wang	524	507	03/24/2000
		6,333,378 B1	12/25/2001	Clark et al.	524	560	05/28/1999
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION <div style="display: flex; justify-content: space-between;"> YES NO </div>
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
EXAMINER				DATE CONSIDERED			
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							